



Course Outline

- L1–L5 Specifications, models and loop-shaping by hand
- L6–L8 Limitations on achievable performance
- L9–L11 Controller optimization: analytic approach
- L12-L14 Controller optimization: numerical approach
 - Youla parameterization, internal model control
 - Synthesis by convex optimization
 - Controller simplification, course review
 - L15 Course review



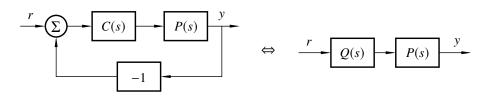
Lecture 12 - Outline

- 1 The Youla (Q) parameterization
- 2 Internal model control (IMC)



Basic idea of Youla and IMC

Assume stable SISO plant P. Model for design:

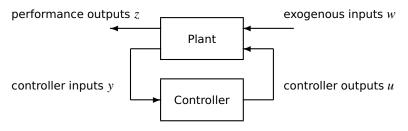


$$\frac{PC}{1 + PC} = PQ$$
$$Q = \frac{C}{1 + PC}$$

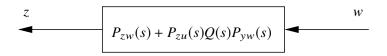
Design Q to get desired closed-loop properties. Then $C = \frac{Q}{1 - OP}$



General idea for Lectures 12-14



The choice of controller corresponds to designing a transfer matrix Q(s), to get desirable properties of the following map from w to z:

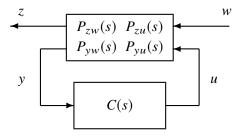


Once Q(s) has been designed, the corresponding controller can be found.



The Youla (Q) parameterization

General feedback control system (assuming positive feedback!):



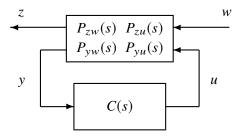
$$Z(s) = P_{zw}(s)W(s) + P_{zu}(s)U(s)$$

$$Y(s) = P_{yw}(s)W(s) + P_{yu}(s)U(s)$$

$$U(s) = C(s)Y(s)$$



The Youla (Q) parameterization



Closed-loop transfer function from w to z:

$$G_{zw}(s) = P_{zw}(s) + P_{zu}(s) \underbrace{C(s) \big[I - P_{yu}(s)C(s) \big]^{-1}}_{=Q(s)} P_{yw}(s)$$

Given
$$Q(s)$$
, the controller is $C(s) = \left[I + Q(s)P_{yu}(s)\right]^{-1}Q(s)$



All stabilizing controllers

Suppose the plant
$$P = \begin{bmatrix} P_{zw} & P_{zu} \\ P_{yw} & P_{yu} \end{bmatrix}$$
 is stable. Then

- Stabilty of Q implies stability of $P_{zw} + P_{zu}QP_{yw}$
- If $Q = C[I P_{yu}C]^{-1}$ is unstable, then the closed loop is unstable.

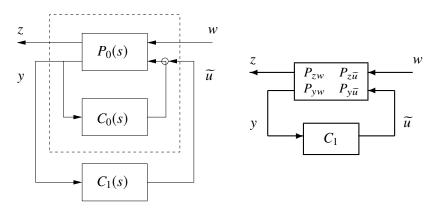
Hence, if P is stable then **all stabilizing controllers** are given by

$$C(s) = \left[I + Q(s)P_{yu}(s)\right]^{-1}Q(s)$$

where Q(s) is an arbitrary stable transfer function.



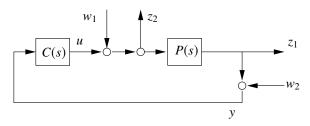
Dealing with unstable plants



If $P_0(s)$ is unstable, let $C_0(s)$ be some stabilizing controller. Then the previous argument can be applied with P_{zw} , $P_{z\widetilde{u}}$, P_{yw} , and $P_{y\widetilde{u}}$ representing the stabilized system.



Example – DC-motor



Assume we want to optimize the closed-loop transfer matrix from $(w_1, w_2)^T$ to $(z_1, z_2)^T$,

$$G_{zw}(s) = \begin{bmatrix} \frac{P}{1-PC} & \frac{PC}{1-PC} \\ \frac{1}{1-PC} & \frac{C}{1-PC} \end{bmatrix}$$

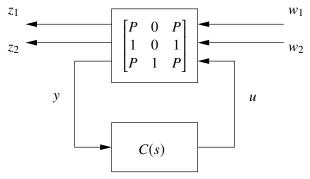
when $P(s) = \frac{20}{s(s+1)}$.

Find the Youla parameterization of all stable closed-loop systems $G_{wz}(s)$ and the corresponding stabilizing controllers C(s).



Stabilizing controller for DC-motor

Generalized plant model:



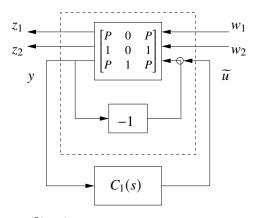
 $P(s) = \frac{20}{s(s+1)}$ is not stable, so introduce

$$C(s) = C_0(s) + C_1(s)$$

where $C_0(s)=-1$ stabilizes the plant; $P_c(s)=\frac{P(s)}{1+P(s)}=\frac{20}{s^2+s+20}$



Redrawn diagram for DC-motor example



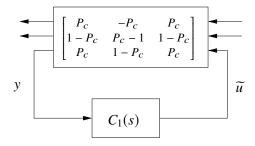
$$z_{1} = Pw_{1} + P(\widetilde{u} - y)$$

$$z_{2} = w_{1} + \widetilde{u} - y$$

$$y = Pw_{1} + w_{2} + P(\widetilde{u} - y) \implies y = \frac{P}{1+P}w_{1} + \frac{1}{1+P}w_{2} + \frac{P}{1+P}\widetilde{u}$$



Redrawn diagram for DC-motor example



All stable closed-loop systems are parameterized by

$$G_{zw} = \underbrace{\begin{bmatrix} P_c & -P_c \\ 1 - P_c & P_c - 1 \end{bmatrix}}_{P_{zw}} + \underbrace{\begin{bmatrix} P_c \\ 1 - P_c \end{bmatrix}}_{P_{z\bar{u}}} Q \underbrace{\begin{bmatrix} P_c & 1 - P_c \end{bmatrix}}_{P_{yw}}$$

where Q(s) is any stable transfer function.

The controllers are given by
$$C(s) = C_0(s) + C_1(s) = -1 + \frac{Q(s)}{1 + Q(s)P_c(s)}$$

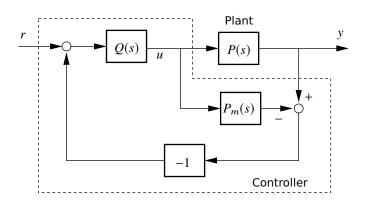


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Internal model control (IMC)

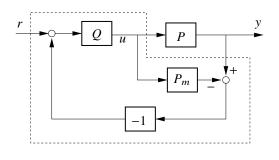


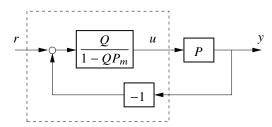
(Negative) Feedback is used only if the real plant P(s) deviates from the model $P_m(s)$. Q(s), P(s), $P_m(s)$ must be stable.

If $P_m(s) = P(s)$, the transfer function from r to y is P(s)Q(s).



Two equivalent diagrams







IMC design rules

With $P(s) = P_m(s)$, the transfer function from r to y is P(s)Q(s).

For perfect reference following, one would like to have $Q(s) = P^{-1}(s)$, but that is not possible (why?)

Design rules:

• If P(s) is strictly proper, the inverse would have more zeros than poles. Instead, one can choose

$$Q(s) = \frac{1}{(\lambda s + 1)^n} P^{-1}(s)$$

where n is large enough to make Q proper. The parameter λ determines the speed of the closed-loop system.

(cf. feedforward design in Lecture 4)



IMC design rules

- If P(s) has an unstable zero, the inverse would be unstable. Two options:
 - Remove the unstable factor $(-\beta s + 1)$ from the plant numerator before inverting.
 - Replace the unstable factor $(-\beta s + 1)$ with $(\beta s + 1)$. With this option, only the phase is modified, not the amplitude function.
- If P(s) includes a time delay, its inverse would be non-causal.
 Instead, the time delay is removed before inverting.



IMC design example 1 — first-order plant

$$P(s) = \frac{1}{Ts+1}$$

$$Q(s) = \frac{1}{\lambda s+1} P(s)^{-1} = \frac{Ts+1}{\lambda s+1}$$

$$C(s) = \frac{Q(s)}{1 - Q(s)P(s)} = \frac{\frac{Ts+1}{\lambda s+1}}{1 - \frac{1}{\lambda s+1}} = \underbrace{\frac{T}{\lambda} \left(1 + \frac{1}{sT}\right)}_{\text{PI controller}}$$

Note that $T_i = T$

This way of tuning a PI controller is known as lambda tuning



IMC design example 2 — non-minimum phase plant

$$P(s) = \frac{-\beta s + 1}{Ts + 1}, \quad \beta > 0$$

$$Q(s) = \frac{(-\beta s + 1)}{(\beta s + 1)} P(s)^{-1} = \frac{Ts + 1}{\beta s + 1}$$

$$C(s) = \frac{Q(s)}{1 - Q(s)P(s)} = \frac{\frac{Ts + 1}{\beta s + 1}}{1 - \frac{(-\beta s + 1)}{(\beta s + 1)}} = \underbrace{\frac{T}{2\beta} \left(1 + \frac{1}{sT}\right)}_{\text{Pl controller}}$$

Note that, again, $T_i = T$

The gain is adjusted in accordance with the fundamental limitation imposed by the RHP zero in $1/\beta$.



IMC design for deadtime processes

Consider the deadtime process

$$P = P_0 e^{-sL}$$

where the delay L is assumed known and constant.

Let $C_0 = Q/(1 - QP_0)$ be a controller designed for the delay-free plant model P_0 . Solving for Q gives

$$Q = \frac{C_0}{1 + C_0 P_0}$$

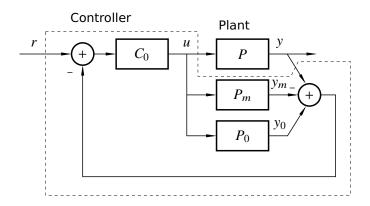
The controller then becomes

$$C = \frac{Q}{1 - OP_0 e^{-sL}} = \frac{C_0}{1 + (1 - e^{-sL})C_0 P_0}$$

This modification of C_0 to account for a time delay is known as a Smith predictor.



Smith predictor



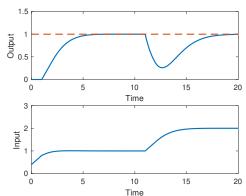
Ideally y and y_m cancel each other and only feedback from y_0 "without delay" is used. If $P=P_m$ then

$$Y = \frac{C_0 P_0}{1 + C_0 P_0} e^{-sL} R$$



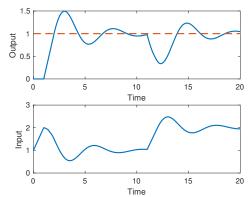
Plant:
$$P(s) = \frac{1}{s+1}e^{-s}$$
, nominal controller: $C_0(s) = K\left(1 + \frac{1}{s}\right)$

Simulation with K = 0.4, no Smith predictor:



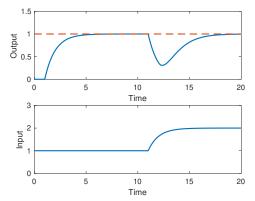


Simulation with K = 1, no Smith predictor:





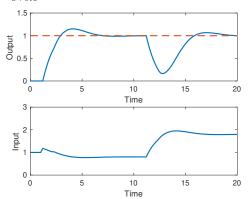
Simulation with K = 1 with Smith predictor ($P_m(s) = P(s)$):



Looks perfect. But do not the forget the fundamental limitation imposed by the time delay! Respect the rule of thumb $\omega_c < \frac{1.6}{L}$ when designing C_0 .



Simulation with K=1 with Smith predictor as before and true process $P(s) = \frac{1}{s+0.8}e^{-1.2s}$



Performance degradation due to model and plant mismatch.



Lecture 12 – summary

Idea: Parameterize the closed loop as

$$G_{yr}=PQ$$
 SISO case, for IMC design or
$$G_{zw}=P_{zw}+P_{zu}QP_{yw}$$
 General MIMO case, suitable for optimization for some stable Q .

• After designing Q, the controller is given by

$$C=rac{Q}{1-QP}$$
 SISO case (assuming negative feedback) or
$$C=\left[I+QP_{vu}\right]^{-1}Q$$
 General MIMO case (positive feedback)