#### **Course Outline**

L1-L5 Specifications, models and loop-shaping by hand

L6-L8 Limitations on achievable performance

L9-L11 Controller optimization: Analytic approach

L12-L14 Controller optimization: Numerical approach

12. Youla parameterization, Internal Model Control13. Synthesis by convex optimization

14. Controller simplification

# FRTN10 Multivariable Control, Lecture 12

**Anton Cervin** 

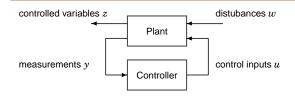
Automatic Control LTH, Lund University

#### Lecture 12

- ► The Youla Parameterization
- ► Internal Model Control
- ▶ Dead Time Compensation

Section 8.4 in Glad/Ljung.

## The Youla parameterization (Q parameterization)



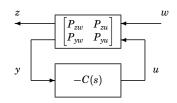
#### Idea for lectures 12-14:

The choice of controller corresponds to designing a transfer matrix Q(s), to get desirable properties of the following map from w to z:

$$P_{zw}(s) - P_{zu}(s)Q(s)P_{yw}(s)$$

Once Q(s) is determined, the corresponding controller can be found.

#### The Youla Parameterization



The closed loop transfer matrix from  $\boldsymbol{w}$  to  $\boldsymbol{z}$  is

$$G_{zw}(s) = P_{zw}(s) - P_{zu}(s)Q(s)P_{yw}(s)$$

where

$$Q(s) = C(s) [I + P_{yu}(s)C(s)]^{-1}$$

$$C(s) = Q(s) + Q(s)P_{yu}(s)C(s)$$

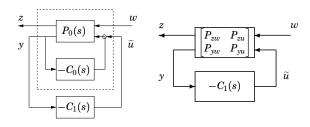
$$C(s) = \left[I - Q(s)P_{yu}(s)\right]^{-1}Q(s)$$

## Closed loop maps for stable plants

Suppose the original plant P is stable. Then

- lacksquare Stability of Q(s) implies stability of  $P_{zw}(s) P_{zu}(s)Q(s)P_{yw}(s)$
- If  $Q = C \big[ I + P_{yu} C \big]^{-1}$  is unstable, then the closed loop is unstable.

## Closed loop maps for unstable plants



If  $P_0(s)$  is unstable, let  $C_0(s)$  be some stabilizing controller. Then the previous argument can be applied with  $P_{zw}$ ,  $P_{zu}$ ,  $P_{yw}$ , and  $P_{yu}$  representing the stabilized closed-loop system.

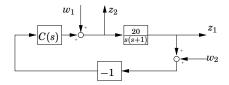
## Next lecture: Synthesis by convex optimization

A general control synthesis problem can be stated as a convex optimization problem in the variable Q(s). The problem could have a quadratic objective, with linear/quadratic constraints, e.g.:

$$\begin{array}{ll} \text{Minimize} & \int_{-\infty}^{\infty} |P_{zw}(i\omega) + P_{zu}(i\omega) \overbrace{\sum_{k} Q_k \phi_k(i\omega)} P_{yw}(i\omega)|^2 d\omega \end{array} \right\} \text{ quadratic objective} \\ \text{subject to} & \text{step response } w_i \rightarrow z_j \text{ is smaller than } f_{ijk} \text{ at time } t_k \\ \text{step response } w_i \rightarrow z_j \text{ is bigger than } g_{ijk} \text{ at time } t_k \end{array} \right\} \text{ linear constraints} \\ \text{Bode magnitude } w_i \rightarrow z_j \text{ is smaller than } h_{ijk} \text{ at } \omega_k \end{array} \right\} \text{ quadratic constraints}$$

Here  $Q(s)=\sum_k Q_k\phi_k(s)$ , where  $\phi_1,\ldots,\phi_m$  are some fixed "basis functions", and  $Q_0,\ldots,Q_m$  are optimization variables. Once Q(s) has been determined, the controller is obtained as  $C(s)=\left[I-Q(s)P_{yu}(s)\right]^{-1}Q(s)$ 

#### Example — DC-motor



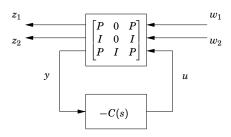
The transfer matrix from  $(w_1, w_2)$  to  $(z_1, z_2)$  is

$$G_{zw}(s) = egin{bmatrix} rac{P}{1+PC} & rac{-PC}{1+PC} \ rac{1}{1+PC} & rac{-C}{1+PC} \ \end{pmatrix}$$

where  $P(s)=rac{20}{s(s+1)}.$  How to obtain stable  $P_{zw},\,P_{zu},\,P_{yw},\,P_{yu}$  to get

$$G_{zw}(s) = P_{zw}(s) - P_{zu}(s)Q(s)P_{yw}(s) ?$$

#### Stabilizing nominal feedback for DC-motor

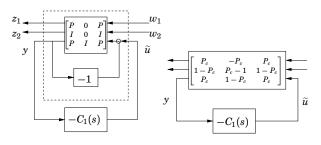


The plant  $P(s) = \frac{20}{s(s+1)}$  is not stable, so write

$$C(s) = C_0(s) + C_1(s)$$

where  $C_0(s) \equiv 1$  is a stabilizing controller.

## Redraw diagram for DC motor example



$$G_{zw}(s) = \begin{bmatrix} P_c & -P_c \\ 1-P_c & P_c-1 \end{bmatrix} + \begin{bmatrix} P_c \\ 1-P_c \end{bmatrix} Q \begin{bmatrix} P_c & 1-P_c \end{bmatrix}$$

where  $P_c(s) = (1 + P(s))^{-1}P(s) = \frac{20}{s^2 + s + 20}$  is stable.

## DC motor example - final controller

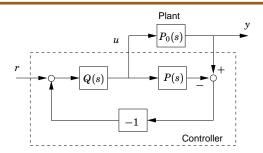
Once Q(s) has been designed, the controller is obtained as

$$C_1 = (I - QP_c)^{-1}Q$$
$$C = C_0 + C_1$$

#### **Outline**

- Youla Parameterization
- Internal Model Control
- o Dead Time Compensation

## **Internal Model Control**

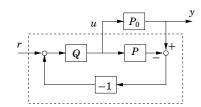


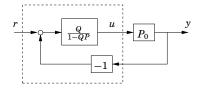
Feedback is used only as the real process deviates from P(s).

The transfer function Q(s) defines how the desired input depends on the reference signal.

When  $P = P_0$ , the transfer function from r to y is P(s)Q(s).

#### Two equivalent diagrams





## Internal Model Control — Strictly proper plants

When  $P=P_0$ , the transfer function from r to y is P(s)Q(s).

For perfect reference following, one would like to put  $Q(s)=P(s)^{-1}.$  For several reasons this is not possible:

If P(s) is strictly proper, the inverse would have more zeros than poles. Instead, one could choose

$$Q(s) = \frac{1}{(\lambda s + 1)^n} P(s)^{-1}$$

where n is large enough to make Q proper. The parameter  $\lambda$  determines the speed of the closed-loop system.

## Internal Model Control — Zeros and delays

Other reasons why  $Q(s) = P(s)^{-1}$  is often not possible:

- If P(s) has unstable zeros, the inverse would be unstable. Options:
  - ightharpoonup Remove every unstable factor  $(-\beta s+1)$  from the plant numerator before inverting
  - ▶ Replace every unstable factor  $(-\beta s + 1)$  with  $(\beta s + 1)$ . With this option, only the phase is modified, not the amplitude function.
- If P(s) includes a time delay, its inverse would have to predict the future. Instead, the time delay is removed before inverting.

## Design Example 1 — First order plant model

$$P(s) = \frac{1}{\tau s + 1}$$

$$Q(s) = \frac{1}{\lambda s + 1} P(s)^{-1} = \frac{\tau s + 1}{\lambda s + 1}$$

$$C(s) = \frac{Q(s)}{1 - Q(s)P(s)} = \frac{\frac{\tau s + 1}{\lambda s + 1}}{1 - \frac{1}{\lambda s + 1}} = \underbrace{\frac{\tau}{\lambda} \left(1 + \frac{1}{s\tau}\right)}_{\text{PI controller}}$$

(This way of tuning a PI controller is known as lambda tuning)

## Design Example 2 — Non-minimum phase plant

$$\begin{split} P(s) &= \frac{-\beta s + 1}{\tau s + 1} \\ Q(s) &= \frac{(-\beta s + 1)}{(\beta s + 1)} P(s)^{-1} = \frac{\tau s + 1}{\beta s + 1} \\ C(s) &= \frac{Q(s)}{1 - Q(s)P(s)} = \frac{\frac{\tau s + 1}{\beta s + 1}}{1 - \frac{(-\beta s + 1)}{(\beta s + 1)}} = \underbrace{\frac{\tau}{2\beta} \left(1 + \frac{1}{s\tau}\right)}_{\text{Pl controller}} \end{split}$$

#### **Outline**

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#### **Dead Time Compensation**

Consider the plant model

$$P(s) = P_1(s)e^{-s\tau}$$

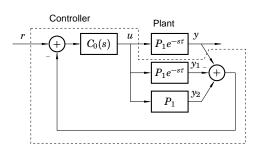
Let  $C_0=Q/(1-QP_1)$  be the controller we would have used without delays. Then  $Q=C_0/(1+C_0P_1)$ .

The rule of thumb tell us to use the same  ${\cal Q}$  also for systems with delays. This gives

$$\begin{split} C(s) &= \frac{Q(s)}{1 - Q(s)P_1(s)e^{-s\tau}} = \frac{C_0/(1 + C_0P_1)}{1 - e^{-s\tau}P_1C_0/(1 + C_0P_1)} \\ C(s) &= \frac{C_0(s)}{1 + (1 - e^{-s\tau})C_0(s)P_1(s)} \end{split}$$

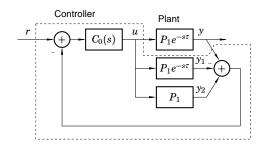
This modification of the  $C_0(s)$  to account for time delays is known as a Smith predictor.

#### **Smith Predictor**



The Smith predictor uses an internal model of the process (with and without the delay). Ideally Y and  $Y_1$  cancel each other and only feedback from  $Y_2$  "without delay" is used.

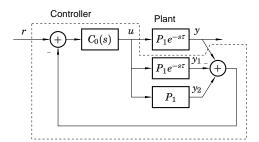
#### **Smith Predictor**



$$Y(s) = e^{-s\tau} \frac{C_0(s) P_1(s)}{1 + C_0(s) P_1(s)} R(s)$$

- ▶ Delay eliminated from denominator!
- ► Reference response greatly simplified!

#### Smith Predictor — A Success Story!

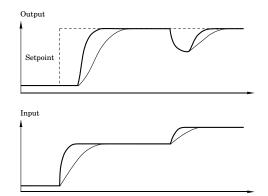


- Numerous modifications
- Many industrial applications

Otto J.M. Smith listed in the ISA "Leaders of the Pack" list (2003) as one of the 50 most influential innovators since 1774.

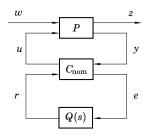
#### **Example: Dead Time Compensation**

Smith predictor (thick) and standard PI controller (thin)



#### Youla parameterization revisited

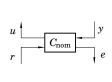
The Youla parameterization:

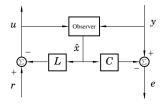


where  $C_{\mathrm{nom}}$  stabilizes the [P,C]-system and Q(s) is any stable transfer function.

## **Nominal Controller: State Feedback from Observer**

Linear system  $\dot{x} = Ax + Bu + B_w w$ ,  $y = Cx + D_w w$ 





with observer

$$\begin{split} \dot{\hat{x}} &= A\hat{x} + Bu + Ke \\ u &= r - L\hat{x} \\ e &= y - C\hat{x} \end{split}$$

## **Summary**

- ightharpoonup Q(s) can be designed by hand for simple plants
  - ► Internal Model Control
  - Warning: Cancellation of slow poles gives poor disturbance rejection
- ${f P}(s)$  can be found via convex optimization, also for multivariable plants (see Lecture 13)

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